



03/00 9400  
#7

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Christopher S. Johnson and Michael M. Thackeray  
Title : SYNTHESIS OF INTERMETALLIC ELECTRODES  
FOR LITHIUM CELLS AND BATTERIES  
Serial No. : 09/943,966  
Date Filed: : August 31, 2001  
Docket No. : 220  
Date : November 12, 2001

COPY OF PAPERS  
ORIGINALLY FILED

Assistant Commissioner for Patents  
BOX IDS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant's undersigned attorneys, who prepared the above-identified patent application, is aware of the prior art references listed on the attached Form PTO-1449, a copy of which is enclosed herewith, and which is relevant to said application.

The Commissioner is authorized to charge any additional fees or credit any overpayments incident to the filing of this Disclosure to Deposit Account No. 05-1060. A duplicate copy of this paper is enclosed.

Respectfully submitted,

EMRICH & DITHMAR  
Attorneys for Applicant  
Suite 3000  
300 South Wacker Drive  
Chicago, Illinois 60606  
(312) 663-9800

By:

Harry M. Levy

Date: 11/13/01

## LIST OF PRIOR ART CITED BY APPLICANT

APPLICANTS: CHRISTOPHER S. JOHNSON and  
MICHAEL M. THACKERAY

SERIAL NO.: 09/943,966

FILING DATE: September 3, 2001

TITLE: SYNTHESIS OF INTERMETALLIC ELECTRODES  
FOR LITHIUM CELLS AND BATTERIES

GROUP NO.:

ATTORNEY DOCKET NO. 220

## PATENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	TRANSLATION YES NO

## PUBLICATIONS

	NAME OF PUBLICATION	NAME OF PUBLISHED DOCUMENT	NAME OF WRITER(S)
1.	Journal of Electrochemical Society - Electrochemistry Science and Technology	Behavior of Some Binary Lithium Alloys as Negative Electrodes in Organic Solvent-Based Electrolytes	Jiqiang Wang, I.D. Raistrick, and R.A. Huggins
2.	Journal of the Electrochemical Society 146(2) 405-413 (1999)	Mechanically Alloyed Sn-Fe(-C) Powders as Anode Materials for Li-Ion Batteries	Ou Mao, R.A. Dunlap, and J.R. Dahn
3.	Journal of Power Sources 68 (1997) 87-90	Will advanced lithium-alloy anodes have a chance in lithium-ion batteries?	J.O. Basenhard, J. Yang and M. Winter

EXAMINER

DATE CONSIDERED.

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.